



BRIAN SANDOVAL
Governor

NEVADA GAMING CONTROL BOARD

1919 College Parkway, P.O. Box 8003, Carson City, Nevada 89702
555 E. Washington Avenue, Suite 2600, Las Vegas, Nevada 89101
3650 S. Pointe Circle, Suite 203, P.O. Box 31109, Laughlin, Nevada 89028
557 W. Silver Street, Suite 207, Elko, Nevada 89801
9790 Gateway Drive, Suite 100, Reno, Nevada 89521
750 Pilot Road, Suite I, Las Vegas, Nevada 89119

A.G. BURNETT, *Chairman*
SHAWN R. REID, *Member*
TERRY JOHNSON, *Member*

July [REDACTED]

Carson City
Phone: (775) 684-7800

[REDACTED]
47 [REDACTED] Street
Southfield, London, England [REDACTED]

Re: N15-0 [REDACTED]

Dear Mr. [REDACTED]:

In conjunction with the current investigation of your application for a state gaming license, you are required by this agency, pursuant to NRS 463.200 and NGC Regulation 4.040, to obtain and make available for review by Board agents the following records and documents for the period specified:

1. Copy of your birth certificate.
2. Copy of your DD-214, Report of Separation from Military Service.
3. Current and previous passport for yourself and your spouse. These items may be provided at the time of your initial interview. At that time, staff will review the passports, make copies, and immediately return them to you.
4. Copy of your last will and testament.
5. Copies of any trust agreements, trust tax returns, and a list and valuation of assets held by the trusts, to which you are party.
6. Copy of any current employment agreement or stock option agreement.
7. Copies of any federal, state, county or city licenses held by you individually or as a representative of a business.

8. Copies of any litigations and arbitrations you, as an individual, member of a partnership, member/manager of a limited liability company, or shareholder, director, or officer of a corporation, have been involved in as either a plaintiff/defendant or defendant/respondent. Documentation should include:
 - (a) Original complaint
 - (b) Amended complaint(s)
 - (c) Cross complaint(s)
 - (d) Disposition, Summary Judgment, Settlement Agreement
 - (e) Written narrative describing the circumstances that led to the lawsuit or arbitration.
9. United Kingdom, as well as any other country where you are required to file, individual income tax returns for the years 2011 - 2015, including all supporting schedules (W-2's, 1099's and Form K-1's). If current year has not been filed please submit a draft and a copy of extension request. Duplicate copies of missing returns can be requested from your local Internal Revenue Service office.
10. Complete bank/brokerage account records for the period June 2011 to the present. This includes the following documents:
 - (a) Bank account/savings account/brokerage account statements
 - (b) Canceled checks
 - (c) Savings passbooks
 - (d) Deposit slips
 - (e) Check registers

Information requested above must be provided in its entirety for the period requested. Documentation must clearly show the source of deposits and the payee of checks and source and recipient of transfer of funds. If bank documents are not in English, please have them translated.

If canceled checks and deposit slips are not returned by your bank, copies of specific items may be requested after board agent review of check registers and bank statements.

The bank information requested applies to all bank/brokerage accounts opened and closed, held by you, your spouse, or jointly during the specified period.

11. Copy of original note receivable agreements and a written explanation regarding the purpose of the note. Explain your relationship with the individual(s).
12. Escrow documents for the purchase and/or sale of all real estate currently owned and for the years 2006 to present. Escrow documents include mortgage loan statements,

trust deeds, and settlement statements (showing down payment and closing costs). Please have your Spanish house documents translated into English.

If the property is co-owned with another person or persons (excluding your current spouse), explain your relationship with the individual(s).

13. Appraisals on all real estate owned or a written explanation of method used to determine market value.
14. Copies of all general and limited partnership agreements in which you are currently involved or have been involved in since June 2011. In addition, provide the following:
 - (a) Brief description of the purpose of the partnership.
 - (b) List of all partners and percentage of ownership held by each.
15. Copies of the Articles of Organization and Operating Agreements of Limited Liability Companies in which you are currently involved or were involved in since June 2011. In addition, provide the following:
 - (a) Brief description of the purpose of the company.
 - (b) List of all members/managers and percentage of ownership held by each.
16. Copies of the Articles of Incorporation and corporate minutes of all corporations in which you are currently involved or were involved in since June 2011. In addition, provide the following:
 - (a) Brief description of the purpose of the company.
 - (b) List of all shareholders and percentage of ownership held by each.
 - (c) List of officers and directors.
17. Provide financial statements, general ledgers, cash receipt and disbursement journals, and tax returns for the non-passive businesses described in #'s 14, 15 and 16 above, for a period of June 2013 to present.
18. Copies of statements pertaining to any pension or retirement funds, IRAs, and annuities for both you and your spouse for the years June 2011 to present.
19. Copies of life insurance policies and statements confirming current cash surrender values as of June 30, 2016.
20. Copies of current license registration and title on all vehicles owned or leased.

21. Copies of mortgage, notes payable, and credit line agreements. Provide term sheets, if not included in the agreements, and loan amortization schedules. If your liability is owed to any individual(s) explain your relationship with the individual(s).
22. Documentation for all liabilities as of June 30, 2016, i.e., credit card statements, auto lease statements, credit lines, etc.
23. Provide a brief written statement outlining your duties and responsibilities with respect to the position you have applied for in your application with the Nevada Gaming Control Board.

This should include the following, if appropriate:

- (a) Who do you report to and their position with the company?
- (b) Departments that report to you or that you oversee.
- (c) Number of officers, managers, or supervisors that report to you.
- (d) Hire and fire authority.
- (e) Complimentary authority.
- (f) Credit authority.
- (g) Terms of employment agreement.
- (h) Base salary and bonus criteria.

This initial letter is a preliminary request and supplemental information may be required during the investigation. Timely processing of your application is dependent upon availability of the requested documents and any subsequent verification that may be required.

Thank you for your cooperation in this matter. If you have any questions, please call.

Sincerely,

[REDACTED]
[REDACTED]
Senior Agent, Investigations Division

DKB.FR [REDACTED]

Cc: [REDACTED] Attorney